

O.I.P.
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112713-486 (ALT5604D N4)

Re Application Of: Connell, M., et al.

Serial No.	Filing Date	Examiner	Group Art Unit
10/695,526	October 27, 2003	Unknown	Unknown

Title: METHOD AND APPARATUS FOR KIDNEY DIALYSIS

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

1 P.C.
TRANSMISSION OF INFORMATION DISCLOSURE STATEMENT
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METHOD AND APPARATUS FOR KIDNEY DIALYSIS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.

Charge the amount of _____

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*This certificate may only be used if paying by deposit account.

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Robert M. Barrett (Reg. No. 30,142)

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on March 9, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Dated: March 9, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Connell, M., et al.
Appl. No.: 10/695,526
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/711,420, filed on November 13, 2000, be made during the course of examination of the above-identified application for United States patent, except for the following additional documents: GB 2 110 564 A, claims of pending U.S. Patent Applications 10/461,322, 10/461,237, 10/461,272.

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<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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2 110 564 A	06/22/83	Europe
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0 186 973	7/9/86	Europe
0 298 587	5/11/87	Europe
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Fresenius, The Highest Standards in Hemodialysis Brochure.

Communication of a Notice of Opposition from the European Patent Office dated January 5, 2001.

Objection on behalf of B. Braun Melsungen AB to Patent 0 668 793 B1 by von Kreisler et al. Patent Attorneys dated January 2, 2001 (with translation).

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Dialysis System A2008 C document published by Fresenius AG.

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Erben, J. et al., "Computer-Supported Risk Analysis of Haemodialysis Patients in Combination with Possible Therapies by the CMS 08," *Improvements in Dialysis Therapy*, 1989, Vol. 74, page 165.

Stefoni, S. et al., "The CMS 08 Modulated Dialysis," *Improvements in Dialysis Therapy*, 1989, Vol. 74, page 221.

Deuber, H.J. et al., "Clinical Application of the Sodium Modelling Computation with CMS 08," *Improvements in Dialysis Therapy*, 1989, Vol. 74, page 159.

Eidesstattliche Versicherung (Solemn Declaration) for Raimond Walter dated January 2, 2001 (with translation).

Copy of photograph labeled Anlage 8.

Programme for European Renal Association XXIXth Congress, 5 pages.

Copy of photograph labeled Anlage 10.

Copy of photograph labeled Anlage 11.

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Claims of Pending U.S. Patent Application No. 10/461,322

Claims of Pending U.S. Patent Application No. 10/461,237

Claims of Pending U.S. Patent Application No. 10/461,272

Foreign FR 2 513 884 and WO 90/14850 listed above are not in the English language. GB 2 110 564 A corresponding to FR 2 513 884 is included in this submission. WO 90/14850 has an English abstract within the reference.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

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BY

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INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.

112713-486

Application No.

10/695,526

Applicant

Connell, M., et al.

Filing Date

October 27, 2003

Group

Unknown

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,563,381	2/16/71	Edelson et al.			
	3,946,731	3/30/76	Lichtenstein			
	3,992,301	11/16/76	Shippey et al.			
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	4,370,983	2/1/83	Lichtenstein			
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	4,618,343	10/21/86	Polaschegg			
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	4,747,950	5/31/88	Guinn			
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	4,769,134	9/6/88	Allan et al.			
	4,770,769	9/13/88	Schael			
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	4,828,543	5/9/89	Weiss et al.			
	4,834,888	5/30/89	Polaschegg			
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	4,914,624	4/3/90	Dunthorn			
	4,916,441	4/10/90	Gombrich			
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	4,971,700	11/90	Tsuji et al.			
	4,974,599	12/4/90	Suzuki			
	4,990,258	2/5/91	Bjare et al.			

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	Perrone, B., et al., "Evidence of Fluid Shifts during Dialysis Sessions with Sodium and Ultrafiltration Profiles," Improvements in Dialysis Therapy, Contrib Nephrology, vol. 74, 1989, (pp. 191-199).
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